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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE
(DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER		
SNKYO126512		
U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5)		
10/555,076		
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	EARLIEST PRIORITY DATE CLAIMED
PCT/JP2004/06100	April 27, 2004	April 28, 2003
TITLE OF INVENTION		
ADIPONECTIN PRODUCTION ENHANCER		
APPLICANT(S) FOR DO/EO/US		
Toshiyuki TAKAGI, Iichiro SHIMOMURA, Yuji MATSUZAWA, and Tohru FUNAHASHI		

Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information by **Express Mail**:

- ☐ 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☒ 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 37 U.S.C. 371.
- ☐ 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- ☐ 4. The US has been elected (Article 31).
- ☐ 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - ☐ a. is attached hereto (required only if not communicated by the International Bureau).
 - ☐ b. has been communicated by the International Bureau.
 - ☐ c. is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).

___ 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))

___ a. are attached hereto (required only if not communicated by the International Bureau).

___ b. have been communicated by the International Bureau.

___ c. have not been made; however, the time limit for making such amendments has NOT expired.

___ d. have not been made and will not be made.

___ 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

X 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).

___ 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

X 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98, with copies of the foreign publications and non-patent literature references, is enclosed.

___ 12. An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.

X 13. A Second Preliminary Amendment. Please enter the Second Preliminary Amendment prior to calculation of the filing fee. The "Amendments to the Claims" section of the two preliminary amendments add 7 additional pages to the application, bringing the total number of pages to 48.

___ 14. An Application Data Sheet under 37 C.F.R. 1.76.

___ 15. A substitute specification.

___ 16. A power of attorney and/or change of address letter.

___ 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13^{ter}.2 and 37 C.F.R. 1.821 – 1.825 is transmitted herewith in printed and computer-readable formats. The paper and computer-readable copies of the sequence listing are the same and do not contain new matter. Entry of the sequence listing into the application is requested. The paper copy comprises ___ additional pages.

___ 18. A second copy of the published international application under 35 U.S.C. 154(d)(4).

___ 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

___ 20. Other items or information:

LAW OFFICES OF
CHRISTENSEN O'CONNOR JOHNSON KINDNESS^{PLC}
1420 Fifth Avenue
Suite 2800
Seattle, Washington 98101
206.682.8100

The following fees are submitted:.				CALCULATIONS	
21. _____ a) Basic national fee..... \$300				\$	
22. _____ b) Examination fee If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$0.00 All other situations.....\$200.00				\$	
23. _____ c) Search fee If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$0.00 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority\$100.00 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB\$400.00 All other situations.....\$500.00				\$	
TOTAL OF ABOVE CALCULATIONS =					
____ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	Rate		
48 - 100 =	0/50=	0	x \$250	\$	
Surcharge of \$130 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$ 130	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	61 - 20 =	41	x \$50	\$2,050	
Independent claims	9 - 3 =	6	x \$200	\$1,200	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$ 360	
TOTAL OF ABOVE CALCULATIONS =				\$3,740	
____ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$3,740	
Processing fee of \$130 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	
TOTAL NATIONAL FEE =				\$3,740	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property.				\$	
TOTAL FEES ENCLOSED =				\$3,740	

03/07/2006 GFREY1 00000089 10555076

01 FC:1617 130.00 OP
02 FC:1615 2050.00 OP
03 FC:1614 1200.00 OP
04 FC:1616 360.00 OP

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	Amount to be refunded:	\$
	Amount to be charged:	\$

- X a. Check No. 169329 in the amount of \$3,740.00 to cover the above fees is enclosed.
- b. Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- X c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-1740. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Customer No. 26389

George E. Renzoni, Ph.D.
 CHRISTENSEN O'CONNOR JOHNSON KINDNESS^{PLLC}
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Respectfully submitted,

CHRISTENSEN O'CONNOR
 JOHNSON KINDNESS^{PLLC}

George E. Renzoni

George E. Renzoni, Ph.D.
 Registration No. 37,919
 Direct Dial No. 206.695.1755

EXPRESS MAIL CERTIFICATE

Express Mail No.: EV 534 743 920 US
 Date of Deposit: March 2, 2006

I hereby certify that this document and the enclosures listed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Erin Van Dyck
 (Typed or printed name of person mailing paper or fee)
Erin Van Dyck
 (Signature of person mailing paper or fee)

GER:md

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